IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent No.: 7,381,560 B2 Group Art Unit: 1644

Serial No.: 09/ 911.692 Examiner: R. Schwadron

Confirmation No.: 8484

Filed: 25 July 2001

Applicant: Darrell R. ANDERSON et al.

For: Expression and Use of Anti-CD20 Antibodies (as amended)

Commissioner for Patents

Attn.: Certificate of Correction Branch

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST UNDER 37 C.F.R. § 1.322 FOR EXPEDITED CORRECTION OF A PATENT DUE TO A MISTAKE BY THE OFFICE

Sir:

Through a mistake on the part of the Office, U.S. Patent No. 7,381,560 B2 was printed with the wrong sequence listing. The invention is claimed with reference to the sequence listing. Accordingly, patentee requests that the Office take corrective action.

Patentee requests that the Office issue a corrected patent as provided by § 1.322(b). In the alternative, patentee requests that the Office issue a Certificate of Correction as indicated in the attached 24 pages of forms PTO/SB/44.

The error is attributable solely to the Office. Patentee thus requests that the Office expedite the issuance of a corrected patent or Certificate of Correction. M.P.E.P. § 1480.01.

We believe that no fee is due in connection with this request. However, the Director may charge any fee that may be required to consider this paper or grant the requested relief to our Deposit Account 18-1260.

Nature of the mistake

The sequence listing that appears in the printed patent appears to be the original sequence listing filed with the application. This sequence listing differs from the sequence listing of record

Several versions of the sequence listing were filed during the pendency of this application. Differences reflected in the final sequence listing include the presentation of 11 sequences, instead of 9 as shown in the patent, and a change in one of the sequences corresponding to the correction of a typographical error in one of the figures. See amendment filed on 22 August 2005. The sequence listing of record was filed on 13 December 2006.

The mistake in the printed patent document is material because all of the patent claims refer to sequences in the sequence listing. The sequences in the sequence listing were rearranged in the 22 August 2005 submission, and the revised arrangement was maintained in later submissions. Thus, the SEQ ID NOs: 4 and 6 in the sequence listing of record – the sequences recited in the patent claims – are not the same sequences as the SEQ ID NOs: 4 and 6 shown in the sequence listing printed in the patent.

The mistake appears to involve only the printed sequence listing. A review of public sequence databases suggests that the electronic sequence listing forwarded by the Office to GenBank is correct. See, e.g., GenBank accession nos. ACE50743.1 and ACE50744.1, corresponding to SEQ ID NOs: 4 and 6, respectively, in the sequence listing of record.

The mistake occurred through the fault of the Office

The sequence listing that should have been printed in the patent was properly entered in the official record of the application.

Both "paper" (i.e., image document) and electronic versions of the sequence listing, together with a statement concerning their identity, were provided with a response to a non-final Office action filed on 13 December 2006. See Attachment 1. The sequence listing complied with all of the requirements of §§ 1.821-1.825. The "paper" version is present in the image file wrapper of the application. STIC personnel reviewed the electronic copy and determined that the sequence listing complied with the Office's technical and formatting requirements. A paper confirming that the sequence listing had been entered in the Office's

sequence database was entered in the file on 18 December 2006 (PALM code CRFE). See Attachment 2.

The change that prompted the sequence submission on 13 December 2006 was a revision to the inventors identified in the sequence listing in connection with a request to correct inventorship under § 1.48(b). See Attachment 3. The request to correct inventorship was formally approved in the Notice of Allowability mailed on 11 January 2008. See Attachment 4.

There is nothing in the record of this application that indicates the sequence listing filed on 13 December 2006 was not entered. Thus, that sequence listing is the one that should have been printed in the patent.

Relief requested

Because of the nature of the mistake, patentee believes it is appropriate for the Office to issue a corrected patent. § 1.322(b). As the mistake is attributable solely to the Office, as shown by the evidence in the Attachments that accompany this paper, patentee requests that the Office expedite the correction. M.P.E.P. § 1480.01.

If the Office determines that it should not issue a complete corrected patent, patentee requests that the Office promptly issue a Certificate of Correction based on the annexed 24 pages of forms PTO/SB/44.

The annexed forms were prepared directly from the data file submitted as the electronic copy of the sequence listing on 13 December 2006. The data fields that are not customarily printed in U.S. patents (i.e., fields <110> through <151>, identifying the application) have been removed, and the field headings have been edited to include the English language identifiers used in other patents (e.g., the title "NUMBER OF SEQ ID NOS:" has been added to field <160>). The forms have been paginated so that the identifying information for each SEQ ID NO begins on a new page for the convenience of the reader.

Conclusion

Patentee requests that the Office correct U.S. Patent No. 7,381,560, preferably by republication, 37 C.F.R. § 1.322(b), or alternatively by issuing a Certificate of Correction, § 1.322(a).

Respectfully submitted,

/David L. Fitzgerald/

David L. Fitzgerald, Reg. No. 47,347 Attorney for Biogen Idec Inc.

30 June 2008 SIDLEY AUSTIN LLP 1501 K Street, N.W. Washington, DC 20005 tel. (202) 736-8818 fax (202) 736-8711

| Electronic Ac | cknowledgement Receipt | | | |
|--------------------------------------|---|--|--|--|
| EFS ID: | 1371263 | | | |
| Application Number: | 09911692 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 8484 | | | |
| Title of Invention: | Therapeutic application of chimeric and radiolabeled antibodies to human B lymphocyte restriced differentiation antigen for treatment of scell lymphoma | | | |
| First Named Inventor/Applicant Name: | Darrell R. Anderson | | | |
| Customer Number: | 47553 | | | |
| Filer: | David Laurence Fitzgerald | | | |
| Filer Authorized By: | | | | |
| Attorney Docket Number: | 27693-01009 | | | |
| Receipt Date: | 13-DEC-2006 | | | |
| Filing Date: | 25-JUL-2001 | | | |
| Time Stamp: | 18:01:04 | | | |
| Application Type: | Utility | | | |

Payment information:

| Submitted with Payment | yes | | | |
|--|--------|--|--|--|
| Payment was successfully received in RAM | \$310 | | | |
| RAM confirmation Number | 255 | | | |
| Deposit Account | 181260 | | | |
| 79. P | | | | |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

| Information | : | | | | |
|-------------|--|-----------------------------------|-----------------|--------|---|
| 46 | NPL Documents | White_Eur_J_Ca_1999.pdf | 460990 | no | 3 |
| Warnings: | | | | Ł | |
| Information | ı. | | | | |
| 47 | NPL Documents | Witzig_ASH_1997.pdf | 262958 | no | 3 |
| Warnings: | <u> </u> | | | L | |
| Information | B: | | | | |
| 48 | NPL Documents | Witzig_J_Clin_Oncol_1999.p | 239634 | no | 11 |
| Warnings: | <u> </u> | | | ł | *************************************** |
| Information | 3: | | | | |
| 49 | NPL Documents | Witzig_JCO-2453_2002.pdf | 628265 | по | 11 |
| Warnings: | | | | ł | |
| Information | 15 | | | | |
| 50 | NPL Documents | Witzig_ASH_abst-2805_199 9.pdf | 73036 | no | 1 |
| Warnings: | J | | | L | |
| Information | Ε | | | | |
| 51 | Sequence Listing | 09-911692_SL6.txt | 27659 | no | ō |
| Warnings: | The same of the sa | | | | |
| Information | r. | | | | |
| 52 | | CP1C3_reply-iDS_13Dec06, pdf | 206067 | yes | 30 |
| ••••• | Multipa | art Description/PDF files in | zip description | d | *************************************** |
| | Document De | Document Description | | | ıd |
| | Amendment - After No | 1 | 3 | | |
| | Specifica | 2 | | | |
| | Abstra | 4 | | | |
| | Claim | s | 5 | , | |
| | ATTACHMENT 1 TO RI | EQUEST FOR CERTIFIC | ATE OF CORRI | ECTION | |

| | Applicant Arguments/Remarks M | 8 | 13 | | | | |
|--------------|-------------------------------|--------------|------|----|---|--|--|
| | Specification | 14 | : | ?3 | | | |
| | Request under Rule 48 corre | 24 | 24 | | | | |
| | information Disclosure State | 25 | 30 | | | | |
| Warnings: | Warnings: | | | | | | |
| Information: | | | | | | | |
| 53 | Fee Worksheet (PTO-875) | fee-info.pdf | 8390 | no | 2 | | |

Warnings:

Information:

58345658

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

Total Files Size (in bytes):

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/ID/CE/093 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

RAW SEQUENCE LISTING

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) no errors detected.

Application Serial Number: 09/911, 692 ESource: TF60/6Date Processed by STIC: 12/18/2006

ENTERED

ATTACHMENT 2 TO REQUEST FOR CERTIFICATE OF CORRECTION

REMARKS

Amendments

Per the examiner's request, applicant has reviewed and updated references to U.S. patent documents in the specification. Other amendments to the specification correct grammatical informalities.

The abstract is amended to provide references to host cells and methods of making purified antibodies, as described throughout the disclosure.

New claims 98 and 103 include the language of claims 70 and 72 and additionally recite that the host cell is capable of expressing and secreting an immunologically active chimeric anti-CD20 antibody. Claim 103 further recites that the host cell comprises promoter and signal sequences. Such host cells are described, e.g., at pages 20-21 of the specification. New claims 99 and 104 track the language of original claim 71.

New dependent method claims 100-102 and 105-107 parallel claims 76-78. As these claims correspond to a nonelected invention, they are marked "new-withdrawn" with the expectation that the examiner will consider them withdrawn under 37 C.F.R. § 1.142(b).

The amendments add no new matter to the disclosure.

Sequence listing

A substitute sequence listing accompanies this paper. The information fields in the sequence listing are revised to reflect the concurrently-filed amendment to the inventorship. Except for this revision, the new sequence listing is identical to the sequence listing it replaces. Thus, applicant submits that this amendment adds no new matter.

A computer-readable copy of the sequence listing is filed with this amendment via the Office's electronic filing facility. In compliance with 37 C.F.R. § 1.821(e), the undersigned states that the paper and computer-readable copies of the sequence listing are identical.

BI 0029 CP1C3

13 DECEMBER 2006 - PAGE 8.

| | Application No. | Applicant(s) | |
|------------------------|------------------------|--------------------------|--|
| Notice of Allowability | 09/911,692 Examiner | ANDERSON ET AL. Art Unit | |
| | Ron Schwadron, Ph.D. | 1644 | |

- The MALING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not include herewith (or previously mailed), a Notice of Allowance (PTQL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRAIN OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to <u>amendment of 6/8/07</u>.
- 2. M The allowed claim(s) is/are 98-102,108,109,73-75.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) All b) Some* c) None of the:
 - 1. Certified copies of the priority documents have been received.
 - 2. T Certified copies of the priority documents have been received in Application No.
 - Copies of the certified copies of the priority documents have been received in this national stage application from the international Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) 🔲 including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) Thereto or 2) to Paper No./Mail Date _____
 - (b) [including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mait Date _____.

identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

 DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3. Information Disclosure Statements (PTO/SB/08),
- Paper No./Mail Date
- Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. T Examiner's Amendment/Comment
- 8. T Examiner's Statement of Reasons for Allowance
- 9, C Other .

OPHING D. SUREMARKS

PTHACTY LIGHMEN GROUP 1500 1500

- The amendment of 6/8/07 has been entered.
- 2. The rejection of claims 70-75,98,99,103,104 under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention as per paragraph 5, section A) of the previous Office Action is withdrawn in view of the cancellation of claims that have been cancelled and the amended claims. The rejection of said claims as per paragraph 5, section B) of the previous Office Action is withdrawn in view of the cancellation of claims that have been cancelled, the amended claims and applicants arguments including the Reff declaration under 1.132
- 3. In view of the papers filed 12/31/06, the inventorship in this nonprovisional application has been changed by the deletion of John E. Leonard.

The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of Office records to reflect the inventorship as corrected.

- 4. An extension of time under 37 CFR 1.136(a) is required in order to make an examiner's amendment which places this application in condition for allowance. During a telephone conversation conducted on 1/3/07, David Fitzgerald requested an extension of time for 5 MONTH(S) and authorized the Director to charge Deposit Account No. 18-1260 the required fee of \$2230.00 for this extension and authorized the following examiner's amendment. Should the changes and/or additions be unacceptable to applicant, an amendment may be filled as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.
- 5. Claims 98 is directed to an allowable product. Pursuant to the procedures set forth in MPEP § 821.04(b), claims 100-102, directed to the process of making or using the allowable product, previously withdrawn from consideration as a result of a restriction requirement, is hereby rejoined and fully examined for patentability under 37 CFR 1.104.

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 24

7.381.560 B2 PATENT NO 09/911,692 APPLICATION NO.: 3 June 2008

ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Strike the entire sequence listing (col. 31/32, line 15, through col. 53/54, line 10) and replace it with the following -

SEQUENCE LISTING

| <160> | NUMBER OF SEQ ID NOS: 11 | |
|-------|---|-----|
| <210> | SEQ ID NO 1 | |
| <211> | LENGTH: 8540 | |
| <212> | TYPE: DNA | |
| <213> | ORGANISM: Artificial Sequence | |
| <220> | FEATURE: | |
| <223> | OTHER INFORMATION: vector | |
| <220> | FEATURE: | |
| | OTHER INFORMATION: sense orientation | |
| <400> | SEQUENCE: 1 | |
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| | aggc ggcctcggcc tctgcataaa taaaaaaaat tagtcagcca tgcatggggc | 120 |
| | tggg cggaactggg cggagttagg ggcgggatgg gcggagttag gggcgggact | 180 |
| | gotg actaattgag atgoatgott tgcatactto tgcotgctgg ggagootggg | 240 |
| | ccac acctggttgc tgactaattg agatgcatgc tttgcatact tctgcctgct | 300 |
| | | |

MAILING ADDRESS OF SENDER (Please do not use customer number below):

David L. Fitzgerald

Sidley Austin LLP, Attn. DC Patent Docketing

1501 K Street, NW, Washington, DC 20005

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 2 of 24

7.381.560 B2 PATENT NO 09/911,692 APPLICATION NO.: 3 June 2008 ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

| ggggagcctg | gggactttcc | acaccctaac | tgacacacat | tccacagaat | taattcccct | 360 |
|------------|------------|------------|------------|------------|------------|------|
| agttattaat | agtaatcaat | tacggggtca | ttagttcata | gcccatatat | ggagttccgc | 420 |
| gttacataac | ttacggtaaa | tggcccgcct | ggctgaccgc | ccaacgaccc | ccgcccattg | 480 |
| acgtcaataa | tgacgtatgt | tcccatagta | acgccaatag | ggactttcca | ttgacgtcaa | 540 |
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| atgaccttat | gggactttcc | tacttggcag | tacatctacg | tattagtcat | cgctattacc | 720 |
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MAILING ADDRESS OF SENDER (Please do not use customer number below):

David L. Fitzgerald

Sidley Austin LLP, Attn. DC Patent Docketing

1501 K Street, NW, Washington, DC 20005

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 3 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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ggcagtacat caatgggcgt ggatagcggt ttgactcacg gggatttcca agtctccacc
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                                                                    1980
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                                                                    2040
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                                                                    2100
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                                                                    3420
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MAILING ADDRESS OF SENDER (Please do not use customer number below):

David L. Fitzgerald

Sidley Austin LLP, Attn. DC Patent Docketing

1501 K Street, NW, Washington, DC 20005

This collection of information is required by 37 CPR 1.322, 1.323, and 1.324. The information is required to obtain or retain a basef by the public which is to file (and by the USPTO to process) an epigleciation. Confidentially is governed by \$5 U.S.C. 122 and 37 CPR 1.14. This collection is effort to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual cases. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burder, should be sent to the information Officer. For this collection is considered to the complete of the control of the control

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 4 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) : D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

```
ggcatgctgg ggatgcggtg ggctctatgg aaccagctgg ggctcgacag cgctggatct
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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 5 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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                                                                     5340
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                                                                     5400
                                                                     5460
cgacctgtcc ggtgccctga atgaactgca ggacgaggca gcgcggctat cgtggctggc
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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 6 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) : D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 7 of 24

APPLICATION NO.: 09/ 911,692
ISSUE DATE 3 June 2008

PATENT NO

INVENTOR(S) D.R. ANDERSON et al.

7.381.560 B2

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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| ttccccgaaa | agtgccacct | | | | | 8540 |
| | | | | | | |

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 8 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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<213> ORGANISM: Artificial Sequence

<220> FEATURE:

<223> OTHER INFORMATION: vector with chimeric antibody sequence

<220> FEATURE:

<223> OTHER INFORMATION: sense orientation

<400> SEOUENCE: 2

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| ggagaatggg | cggaactggg | cggagttagg | ggcgggatgg | gcggagttag | gggcgggact | 180 |
| atggttgctg | actaattgag | atgcatgctt | tgcatacttc | tgcctgctgg | ggagcctggg | 240 |
| gactttccac | acctggttgc | tgactaattg | agatgcatgc | tttgcatact | tctgcctgct | 300 |
| ggggagcctg | gggactttcc | acaccctaac | tgacacacat | tccacagaat | taattcccct | 360 |
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| gttacataac | ttacggtaaa | tggcccgcct | ggctgaccgc | ccaacgaccc | ccgcccattg | 480 |
| acgtcaataa | tgacgtatgt | tcccatagta | acgccaatag | ggactttcca | ttgacgtcaa | 540 |
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| tttccaagtc | tccaccccat | tgacgtcaat | gggagtttgt | tttggcacca | aaatcaacgg | 840 |

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Page 9 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) : D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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Page 10 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) : D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 11 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

```
qtqccactcc cactqtcctt tcctaataaa atqaqqaaat tqcatcqcat tqtctqaqta
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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 12 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) . D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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tcqaqctact aqctttqctt ctcaatttct tatttqcata atqaqaaaaa aaqqaaaatt
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                                                                     5640
agacagtgtt ctctgcacag ataaggacaa acattattca gagggagtac ccagagctga
                                                                     5700
qactcctaaq ccaqtqaqtq qcacaqcatt ctaqqqaqaa atatqcttqt catcaccqaa
                                                                     5760
gcctgattcc gtagagccac accttggtaa gggccaatct gctcacacag gatagagagg
                                                                     5820
gcaggagcca gggcagagca tataaggtga ggtaggatca gttgctcctc acatttgctt
                                                                     5880
ctgacatagt tgtgttggga gcttggatcg atcctctatg gttgaacaag atggattgca
                                                                     5940
                                                                     6000
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aatcggctgc tctgatgccg ccgtgttccg gctgtcagcg caggggcgcc cggttctttt
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                                                                     6600
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                                                                     6840
atcctccage geggggatet catgetggag ttettegece acceeaactt gtttattgea
                                                                     6900
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                                                                     6960
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                                                                     7020
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                                                                     7080
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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 13 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) : D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

```
attqttatcc qctcacaatt ccacacaaca tacqaqccqq aaqcataaaq tqtaaaqcct
                                                                    7140
qqqqtqccta atqaqtgagc taactcacat taattgcgtt gcgctcactg cccgctttcc
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                                                                    7260
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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 14 of 24

APPLICATION NO.: 09/ 911,692
ISSUE DATE 3 June 2008

PATENT NO

INVENTOR(S) D.R. ANDERSON et al.

7.381.560 B2

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

ttagctcctt cggtcctccg atcgttgtca gaagtaagtt ggccgcagtg ttatcactca 8700 togttatogc accactocat aattetetta etgtcatogc atccotaaga toettteto 8760 tgactggtga gtactcaacc aagtcattct gagaatagtg tatgcggcga ccgagttgct 8820 cttqcccqqc qtcaatacqq qataataccq cqccacataq caqaacttta aaaqtqctca 8880 tcattggaaa acgttcttcg gggcgaaaac tctcaaggat cttaccgctg ttgagatcca 8940 gttcgatgta acccactcgt gcacccaact gatcttcagc atcttttact ttcaccagcg 9000 tttctqqqtq aqcaaaaaca qqaaqqcaaa atqccqcaaa aaaqqqaata aqqqcqacac 9060 qqaaatqttq aatactcata ctcttccttt ttcaatatta ttqaaqcatt tatcaqqqtt 9120 attgtctcat gagcggatac atatttgaat gtatttagaa aaataaacaa ataggggttc 9180 cgcgcacatt tccccgaaaa gtgccacct 9209

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 15 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEQ ID NO 3 <211> LENGTH: 384

<212> TYPE: DNA

<213> ORGANISM: Mus musculus

<220> FEATURE:

<223> OTHER INFORMATION: sense orientation

<400> SEQUENCE: 3

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 16 of 24

PATENT NO 7.381.560 B2 09/911,692 APPLICATION NO.: 3 June 2008

ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEO TD NO 4 <211> LENGTH: 128 <212> TYPE: PRT <213> ORGANISM: Mus musculus <400> SEOUENCE: 4 Met Asp Phe Gln Val Gln Ile Ile Ser Phe Leu Leu Ile Ser Ala Ser Val Ile Met Ser Arg Glv Gln Ile Val Leu Ser Gln Ser Pro Ala Ile Leu Ser Ala Ser Pro Gly Glu Lys Val Thr Met Thr Cys Arg Ala Ser Ser Ser Val Ser Tyr Ile His Trp Phe Gln Gln Lys Pro Gly Ser Ser Pro Lvs Pro Trp Ile Tvr Ala Thr Ser Asn Leu Ala Ser Glv Val Pro Val Arq Phe Ser Gly Ser Gly Ser Gly Thr Ser Tyr Ser Leu Thr Ile

Ser Arg Val Glu Ala Glu Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Trp Thr Ser Asn Pro Pro Thr Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys 115

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 17 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEQ ID NO 5 <211> LENGTH: 420 <212> TYPE: DNA

<213> ORGANISM: Mus musculus

<220> FEATURE:

<223> OTHER INFORMATION: sense orientation

<400> SEQUENCE: 5

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 18 of 24

PATENT NO 7.381.560 B2 09/911.692 APPLICATION NO.: 3 June 2008

ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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<210> SEO TD NO 6
<211> LENGTH: 140
<212> TYPE: PRT
<213> ORGANISM: Mus musculus
<400> SEOUENCE: 6
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Thr Ser Tyr Asn Met His Trp Val Lys Gln Thr Pro Gly Arg Gly Leu
Glu Trp Ile Gly Ala Ile Tyr Pro Gly Asn Gly Asp Thr Ser Tyr Asn
Gln Lys Phe Lys Gly Lys Ala Thr Leu Thr Ala Asp Lys Ser Ser Ser
Thr Ala Tvr Met Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val
Tyr Tyr Cys Ala Arg Ser Thr Tyr Tyr Gly Gly Asp Trp Tyr Phe Asn
                            120
                                                125
Val Trp Gly Ala Gly Thr Thr Val Thr Val Ser Ala
                        135
                                            140
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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 19 of 24

PATENT NO. : 7,381,560 B2
APPLICATION NO.: 09/ 911,692
ISSUE DATE : 3 June 2008

INVENTOR(S) D.R. ANDERSON et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEQ ID NO 7

<211> LENGTH: 27

<212> TYPE: DNA

<213> ORGANISM: Artificial Sequence

<220> FEATURE:

<223> OTHER INFORMATION: impaired Kozak sequence and restriction enzyme

site

<220> FEATURE:

<223> OTHER INFORMATION: sense orientation

<400> SEQUENCE: 7

gggagcttgg atcgatcctc tatggtt

27

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 20 of 24

PATENT NO 7.381.560 B2 09/911,692 APPLICATION NO.: 3 June 2008

ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEO TD NO 8

<211> LENGTH: 47 <212> TYPE: DNA

<213> ORGANISM: Artificial Sequence

<220> FEATURE:

<223> OTHER INFORMATION: PCR Primer

<220> FEATURE:

<223> OTHER INFORMATION: sense orientation

<400> SEOUENCE: 8

atcacagate teteaceatg gatttteagg tgeagattat eagette

47

MAILING ADDRESS OF SENDER (Please do not use customer number below):

David L. Fitzgerald

Sidley Austin LLP, Attn. DC Patent Docketing

1501 K Street, NW, Washington, DC 20005

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Page 21 of 24

PATENT NO 7.381.560 B2 09/911,692 APPLICATION NO.:

ISSUE DATE

3 June 2008 D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEO TD NO 9

<211> LENGTH: 30 <212> TYPE: DNA

<213> ORGANISM: Artificial Sequence

<220> FEATURE:

<223> OTHER INFORMATION: PCR Primer

<220> FEATURE:

<223> OTHER INFORMATION: antisense orientation

<400> SEOUENCE: 9

tgcagcatcc gtacgtttga tttccagctt

30

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David L. Fitzgerald

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Page 22 of 24

PATENT NO 7.381.560 B2 09/911,692 APPLICATION NO.: 3 June 2008

ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> SEO TD NO 10

<211> LENGTH: 27

<212> TYPE: DNA

<213> ORGANISM: Artificial Sequence

<220> FEATURE:

<223> OTHER INFORMATION: PCR Primer

<220> FEATURE:

<223> OTHER INFORMATION: sense orientation

<400> SEOUENCE: 10

geggetecca egegtgtect gteccag

27

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Page 23 of 24

APPLICATION NO.: 09/ 911,692
ISSUE DATE 3 June 2008

PATENT NO

INVENTOR(S) D.R. ANDERSON et al.

7.381.560 B2

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- <210> SEQ ID NO 11 <211> LENGTH: 29
- <211> LENGTH: 2 <212> TYPE: DNA
- <213> ORGANISM: Artificial Sequence
- <220> FEATURE:
- <223> OTHER INFORMATION: PCR Primer
- <220> FEATURE:
- <223> OTHER INFORMATION: antisense orientation
- <220> FEATURE:
- <221> misc_feature
- <222> LOCATION: (1)..(29)
- <223> OTHER INFORMATION: s is g or c
- <220> FEATURE:
- <221> misc_feature
- <222> LOCATION: (1)..(29)
- <223> OTHER INFORMATION: m is a or c
- <220> FEATURE:
- <221> misc feature
- <222> LOCATION: (1)..(29)
- <223> OTHER INFORMATION: r is g or a

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This collection of information is required by 37 CPR 1.322, 1.323, and 1.324. The information is required to obtain or retain a basef by the public which is to file (and by the USPTO to process) an epigleciation. Confidentially is governed by \$5 U.S.C. 122 and 37 CPR 1.14. This collection is effort to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual cases. Any comments on the amount of time you require to complete this. Some and/or suggestions for reducing this burder, should be sent to the infile infile individual case. Any comments on the amount of time you require to complete this. Some and/or suggestions for reducing this burder, should be sent to the infile infile individual cases. Any comments of the support of the

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 24 of 24

7.381.560 B2 PATENT NO 09/911,692 APPLICATION NO.: 3 June 2008

ISSUE DATE

D.R. ANDERSON et al. INVENTOR(S)

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<400> SEQUENCE: 11 ggstgttgtg ctagctgmrg agacrgtga

29

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